

# *Electing a University President using Open-Audit Voting*

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# The UCL president election

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## **Université catholique de Louvain (Belgium) sets new rules for the election of its president**

- ▶  $\approx$  25.000 potential voters
  - ▶  $\approx$  30 members of the academic senate were voting before
- ▶ Voting operations conducted through browser/email
  - ▶ Large number of voters
  - ▶ Geographic dispersion of the voters
  - ▶ High familiarity level of the voters with the Internet
  - ▶ Low-coercion environment



# Talk Outline

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- ▶ UCL election specifics
- ▶ Helios 1.0
- ▶ Challenges and Deployment
- ▶ Lessons and statistics



# The UCL president election (cnt.)

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## Election specifics

- ▶ 1-out-of- $n$  election
  - ▶ Absolute majority is needed to win, two rounds maximum
  - ▶ Vote is not mandatory
  - ▶ Sophisticated vote weighting rules : *(simplified a lot)*
    - ▶ 4 categories of voters  
**F**aculty, **R**esearchers, **A**dministrative Staff and **S**tudents
    - ▶ **F** have 61% of the electoral votes
    - ▶ **R**, **A**, **S** receive 13% each
    - ▶ restrictions apply on sufficient participation rates
- ⇒ **the weight of each vote depends on the global turnout**



## *The UCL president election (cnt.)*

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### **Election outputs (as in the bylaws)**

- ▶ number of electoral votes received by each candidate
- ▶ number of voters in each category
- ▶ (results by category are secret)



# *How to make this work ?*

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## **Observations**

- ▶ A university is a nice place to try something new
- ▶ Voters aren't necessarily computer scientists
- ▶ Voters have UCL email address, login/password, member card
- ▶ Open-source and free starting point system needed (trust, versatility, time frame)



# Helios 1.0 [Adida 2008]

## Helios Voting Elections you can audit

If my vote is supposed to stay secret, how can I verify that it was counted correctly?

The Helios Voting System implements advanced cryptographic techniques to maintain ballot secrecy while providing a mathematical proof that the election tally was correctly computed.

We call this an *open-audit election*, because you or anyone else can audit it.

Check out our [Frequently Asked Questions](#).



[Create an Open-Audit Election](#)

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[www.heliosvoting.org](http://www.heliosvoting.org)



# Helios 1.0 [Adida 2008]

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## Principles

- ▶ Browser-only voting system
- ▶ Low-coercion elections
- ▶ Design kept as simple as possible :
  - ▶ Booth can be used as many times as desired
    - ▶ ElGamal encryption of 0/1 for each choice
    - ▶ Benaloh challenge  
cast or audit, authenticate on cast
  - ▶ Sako-Kilian mixnet before decryption
  - ▶ Web bulletin-board shows votes and proofs for everything
- ▶ Deployed on Google App Engine





# Technical Challenges (1/3)

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## Key management

- ▶ Vote confidentiality relies on control of ElGamal private key  
*Move to distributed ElGamal*
- ▶ Trustees are not computer scientists

*Distribute trust among experts*  
*Use LiveCD, disk- and network-free laptops*  
*Monitoring/Audit by independent company*



## Technical Challenges (2/3)

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### Vote weighting

- ▶ Participation per category and weights are public  
But support of candidates per category is secret
- ⇒ We cannot open individual votes!

*Move to homomorphic tally instead of mixnets*

- ▶ Not enough to hide support of candidates per category...

$$w_F n_F + w_R n_R + w_A n_A + w_S n_S = n$$

... has  $\approx 1$  solution for UCL election parameters  
(knapsack-style problem)

*Use smaller, approximate weights  
Careful choice provided  $\approx 10^5$  sol. for  $\approx 10^{-4}$  precision*



# Technical Challenges (3/3)

## Audit complaints arbitration

- ▶ Voters invited to complain if WBB looks wrong  
DoS through complaints?

*Give voters a way to prove things are wrong  
Timestamp/sign everything as evidence*

- ▶ Voters usually not familiar with signature

*Signed pdf files seem most usable  
Signature through PortableSigner  
UCL Root certificate deployed on all UCL machines*



# Deployment Challenges (1/3)

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## Privacy matters

- ▶ Publication of privacy policies

*Help of law office*

- ▶ Name of voters cannot appear on bulletin board

*Each voter receives an alias*

- ▶ Google App Engine constraining : data sent out of EU

*Move to Django/PostgreSQL for free software stack*



## Deployment Challenges (2/3)

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### Usability

- ▶ Make voting process as straightforward as possible  
Keep information available for curious voter

*2-level interface : basic vs. curious voter*

`/Q3tICMUkbwRh1+NcvfILWr15is`   
[\[imprimer\]](#)

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### Robustness and availability

- ▶ Each election round lasts 35 hours

*Use redundant in-house servers*

*Use cloud computing (Amazon EC2)*



## Deployment Challenges (3/3)

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### Communication

- ▶ Meetings/presentations
  - ▶ Election bylaws working group, Rector council, Academic council, Employees Union, . . .
- ▶ Voter education
  - ▶ University newspaper, lunch-time demos, screencasts, . . .
  - ▶ Test election (student projects, for university sponsoring)
- ▶ Support organization
  - ▶ Phone/email support by UCL IT Department
  - ▶ Voting offices, with election officers



# Election Phases – Organization

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## Registration Phase

- ▶ Voters registration *2 weeks*
  - ▶ registration website
  - ▶ generation of voters' aliases
  - ▶ generation of credentials
- ▶ Test Election *same 2 weeks*

## Voting Phases (Each two rounds)

- ▶ Voting period *2 days, from 8am to 7pm the next day*
  - ▶ same interface as Test Election
  - ▶ credentials still accessible on registration website
- ▶ WBB Audit day *1 day, next to the voting period*
  - ▶ voters check the web bulletin board (... and may complain)



# Election Phases – Lessons and Statistics 1/3

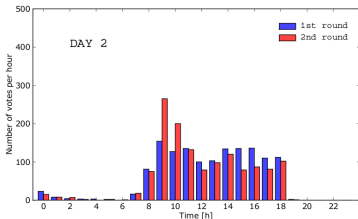
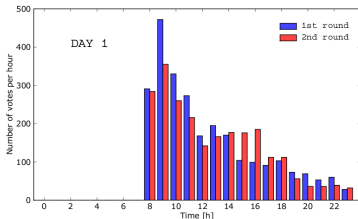
## Participation

- ▶ 5142 registered voters

*Very useful for credential negotiation*

*Very useful for 1st bound on number of voters*

- ▶ 10644 votes tallied
  - ▶  $\approx 3000$  votes for test election
  - ▶  $\approx 4000$  votes for each round
- ▶ max. 17 votes/minute, emails trigger vote





## Election Phases – Lessons and Statistics 2/3

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### Voter behavior

- ▶ 1% vote more than once (last vote counts)

*Quite controversial, no strong impact*

- ▶ 3% use voting offices

*Mostly people unfamiliar with PC  
Quite over-dimensioned on our side*

- ▶ 30% check their vote on web bulletin board

*Quite high!  
Decreases on 2nd round*

- ▶ 120 tickets raised by UCL support

1. Credentials lost
2. JVM missing, use of Win95, IE4, ...
3. Did I do everything correctly?

*Importance of testing with broad spectrum of people...*



## Web Bulletin Board Audit days

- ▶ 7 complaints issued during 2 rounds
  1. I am just trying to vote after the deadline
  2. I want to test the procedure
  3. I switched my receipt with someone else in the printer

*Convenience of voting server with public data only*

## Tally

- ▶ 1st round leader was  $< 2$  electoral votes from majority  
*no objection, clear majority on 2nd round*



# Conclusion

- ▶ 1st significant-outcome, multi-thousand-voters open-audit election successful

Elections à l'UCL: un vote électronique vérifiable, "Inédit" à grande échelle



L'élection ces 2 et 3 mars du nouveau recteur de l'université catholique de Louvain (UCL), au suffrage universel pondéré, se fait via un système de vote électronique d'une nouvelle génération qui permet à l'électeur de vérifier que le résultat de l'élection est correct, a indiqué l'UCL au premier jour du scrutin.

**Bruno Delvaux élu recteur de l'UCL**

Mis en ligne le 23/03/2009



Bruno Delvaux est né en 1954, il est marié et père de trois enfants. Il pratique le cyclisme et est passionné d'œnologie et d'histoire.

Il entrera en fonction le 1er septembre 2009. La commission électorale annonce, ce lundi 23 mars, les résultats du 2e tour de l'élection du recteur de l'UCL. 3 758 électeurs ont voté sur un total de 5 143 électeurs inscrits sur les listes électorales. Les résultats enregistrés au 2e tour sont les suivants : Bruno Delvaux : 53,83 %, Vincent Blondel : 42,45 %, Votes blancs : 3,72 %

- ▶ Open-audit elections allow moving
  - ▶ from election manipulation opportunity
  - ▶ to voter verification opportunity
- ▶ Each election is a significant project on its own  
Thanks to all the people at who supported it!

*UCL, Harvard, ENS Cachan, BlueKrypt, Google, Nexxit, ...*



# Thank you !

`https://election.uclouvain.be/test`

